

2014 Licensing Activity Survey Questionnaire Worksheet

- Before starting the survey, please review the Instructions and Definitions document.
- Login to update your survey responses as often as you wish until the survey close date. Previous responses will be shown in the edit box.
- 2013 respondents will have last year's responses displayed in the "2013" column. A zero can actually mean zero, or it may mean "no data" if you were not a 2013 respondent or did not answer a particular question last year.
- Answer each question if possible. **Answer with N if data is unavailable** or not disclosed. If you mean to answer a question with zero, do not leave it blank, type in a zero (0).
- Currency amounts should be **rounded to the nearest dollar**. Do not include commas, cents or dollar signs on input, although commas will be displayed in the form for your convenience.
- Responses will be checked against 2013 values. If the 2014 value seems too big or too small, a mistake will be assumed. See instructions on the results page to confirm.
- Component values of some questions will be checked to see if they sum correctly. If not, the parts will automatically be set to N but the aggregate total will remain. If all three parts are set to N, a problem will be noted -- *ignore message if you intended to leave this question unanswered by entering N*.

Note: All questions refer to the 2014 reporting period unless otherwise stated within the question.

Confidentiality	Keep institution name and detail data CONFIDENTIAL. <input type="radio"/> Yes <input checked="" type="radio"/> No
Institution	<p style="text-align: center;">Name: Test US University ID: 99999 Type:</p> <hr/> <p>Is your institution considered: <input type="radio"/> Public <input type="radio"/> Private <input type="radio"/> Hybrid <input checked="" type="radio"/> Not Disclosed</p> <p style="text-align: center;">(hybrid is combined public and private)</p>
Medical School	Does your institution include a medical school? <input type="radio"/> Yes <input type="radio"/> No <input checked="" type="radio"/> Not Disclosed
Contact All survey communications go to this contact.	<p>First: <input type="text" value="Richard"/></p> <p>Middle: <input type="text"/></p> <p>Last: <input type="text" value="Colman"/></p> <p>Telephone: <input type="text"/></p> <p>Fax: <input type="text"/></p> <p>E-mail: <input type="text" value="colman@uci.edu"/></p>
Mailing Address	<p>Address 1: <input type="text"/></p> <p>Address 2: <input type="text"/></p> <p>City: <input type="text" value="Los Angeles"/></p> <p>State/Province: <input style="border: none; border-bottom: 1px solid black; padding: 2px 5px;" type="text" value="Please Select"/> ▼</p> <p>ZIP/Postal Code: <input type="text" value="92637"/></p> <p>Country: <input type="text" value="USA"/></p>
Program Start	<p>In what year did your institution dedicate at least 0.5 PROFESSIONAL FTE toward TECHNOLOGY TRANSFER ACTIVITIES (progyear)?</p> <p style="text-align: right;">2014 2013</p> <p style="text-align: right;"><input type="text"/></p>
Staffing	<p>(7A) How many LICENSING FTEs were employed in your TECHNOLOGY TRANSFER OFFICE? (licfte)</p> <p style="text-align: right;">2014 2013</p> <p style="text-align: right;"><input type="text" value="N"/></p>

	(7B) How many OTHER FTEs were employed in your TECHNOLOGY TRANSFER OFFICE? (othfte)	<input type="text" value="N"/>	
Research Expenditures What is the annual amount of research expenditures (include direct and indirect costs) for your institution.	<i>NOTE: 8A must be EQUAL or GREATER than 8B+8C</i>		2014 2013
	(8A) Total RESEARCH EXPENDITURES? (totexp)	\$ <input type="text" value="N"/>	\$0
	(8B) RESEARCH EXPENDITURES from FEDERAL GOVERNMENT SOURCES? (fedexp)	\$ <input type="text" value="N"/>	\$0
	(8C) Research expenditures from INDUSTRIAL SOURCES? (indexp)	\$ <input type="text" value="N"/>	\$0
	(8C1) How much of the research expenditures from industrial sources (8C) was funding for clinical trials? (clinexp)	\$ <input type="text" value="N"/>	\$0
License/Option Agreements (no. of licenses should exclude software and biological material end-user licenses under \$1,000. See definition, LICENSES/OPTIONS.)	(9A) General:		2014 2013
	(9A1) How many LICENSES (TOTAL) did your institution execute? (lctotlic)	<input type="text" value="N"/>	0
	(9A2) How many OPTIONS (TOTAL) did your institution execute in 2014? (lctotopt)	<input type="text" value="N"/>	0
	(9A3) How many different DISCLOSURES are included in the LICENSES/OPTIONS EXECUTED reported in (9A1) and (9A2). (lcinvdiss)	<input type="text" value="N"/>	0
	(9A4) How many of the executed LICENSES reported in (9A1) were EXCLUSIVE? (lcexcl)	<input type="text" value="N"/>	0
	(9A5) How many of the executed LICENSES reported in (9A1) were NON-EXCLUSIVE? (lcnex)	<input type="text" value="N"/>	0
	<i>NOTE: 9A4+9A5 must equal 9A1</i>		
	(9B) How many executed LICENSES/OPTIONS in (9A1) included EQUITY? (lcexeq)	<input type="text" value="N"/>	0
	(9C) How many LICENSES/OPTIONS were ACTIVE as of the last day in the 2014 reporting period, cumulative through the 2014 reporting period? (actlic)	<input type="text" value="N"/>	0
	(9D) How many of the executed LICENSES/OPTIONS in (9A1) were with START-UP companies? (lcexsu)	<input type="text" value="N"/>	0
(9E) How many of the executed LICENSES/OPTIONS in (9A1) were with SMALL companies? (lcexsm)	<input type="text" value="N"/>	0	
(9F) How many of the executed LICENSES/OPTIONS (9A1) were with LARGE companies? (lcexgl)	<input type="text" value="N"/>	0	
<i>NOTE: 9D+9E+9F must equal 9A1+9A2</i>			
(9G) How many OTHER NEW COMPANIES received support from your institution? (newcompsupp)	<input type="text" value="N"/>	0	
License Income (Exclude software and biological material end-user licenses under \$1,000. See definition, LICENSES/OPTIONS.)	(11A) What is the total number of LICENSES/OPTIONS yielded LICENSE INCOME? (lcnli)	<input type="text" value="N"/>	0
	(11A1) How many LICENSES/OPTIONS yield the RUNNING ROYALTIES reported in (11B1)? (lcnrr)	<input type="text" value="N"/>	0
	(11A2) How many licenses/options yielded more than \$1 million in LICENSE INCOME RECEIVED? (lclm)	<input type="text" value="N"/>	0
	(11B) What was the TOTAL amount of LICENSE INCOME RECEIVED at your institution? (lirecd)	<input type="text" value="N"/>	\$0
	(11B1) How much of the LICENSE INCOME RECEIVED was attributed to RUNNING ROYALTIES? (lirunr)	<input type="text" value="N"/>	\$0
	(11B2) How much of the LICENSE INCOME RECEIVED was attributed to CASHED-IN EQUITY? (caineq)	<input type="text" value="N"/>	\$0
	(11B3) How much of the LICENSE INCOME RECEIVED was attributed to LICENSE INCOME of all other types? (liothr)	<input type="text" value="N"/>	\$0
	<i>NOTE: (11B1) + (11B2) + (11B3) must = (11B) otherwise 11B1, 11B2 and 11B3 will be set to N</i>		
(11C) How much of the LICENSE INCOME was PAID TO OTHER INSTITUTIONS? (lipdin)	<input type="text" value="N"/>	\$	
Legal Fees	(12A) What was the amount spent on external legal fees for patents and/or copyrights? (explgf)	\$ <input type="text" value="N"/>	\$0

	(12B) What was the amount received in reimbursements for these fees from licensees? (reimlg) \$ <input type="text" value="N"/> \$0	
IP-Related Activity	(13A) How many DISCLOSURES were received? (invdis)	2014 2013 <input type="text" value="N"/> 0
	(13A1) How many DISCLOSURES in (13A) referenced support from a federal grant? (fedinvdis)	<input type="text" value="N"/> 0
	(13B) How many TOTAL U.S. PATENT APPLICATIONS were filed? (tptapp)	<input type="text" value="N"/> 0
	(13C) How many NEW PATENT APPLICATIONS were filed? (nptapp)	<input type="text" value="N"/> 0
	(13C1) Of NEW PATENT APPLICATIONS FILED, how many were filed as US PROVISIONAL PATENT APPLICATIONS (NPTAPPPR)	<input type="text" value="N"/> 0
	(13C2) Of NEW PATENT APPLICATIONS FILED, how many were filed as US UTILITY PATENT APPLICATIONS (NPTAPPUT)	<input type="text" value="N"/> 0
	(13C3) Of NEW PATENT APPLICATIONS FILED, how many were filed as NON-US PATENT APPLICATIONS (NPTAPPNUS)	<input type="text" value="N"/> 0
	(13C4) Of new patent applications filed, how many were filed as DESIGN PATENTS? (patdesgn)	<input type="text" value="N"/> 0
	(13C5) Of new patent applications filed, how many were filed as PLANT PATENTS? (patplant)	<input type="text" value="N"/> 0
	<i>NOTE: (13C1) + (13C2) + (13C3) must = (13C) otherwise 13C1, 13C2 and 13C3 will be set to N</i>	
	(13D) How many U.S. PATENTS ISSUED? (usptis)	<input type="text" value="N"/> 0
(13E) How many disclosures were returned to inventors? (invdisret)	<input type="text" value="N"/> 0	
Start-Up Companies	(14A) How many START-UP COMPANIES were formed that were dependent upon the licensing of your institution's technology for initiation? (strtup)	<input type="text" value="N"/> 0
	(14B) How many of these START-UP COMPANIES have their primary place of business in your home state/province? (strths)	<input type="text" value="N"/> 0
	(14C) How many START-UP COMPANIES became NON-OPERATIONAL? (Include only companies dependent on the licensing of your institution's technology for initiation, and were reported in this or prior ALAS surveys.) (strnop)	<input type="text" value="N"/> 0
	(14D) How many START-UP COMPANIES were OPERATIONAL as of the last day of the 2014 reporting period? (Include only companies dependent on the licensing of your institution's technology for initiation, and were reported in this or prior ALAS surveys.) (stopcm)	<input type="text" value="N"/> 0
	(14E) Of the START-UP COMPANIES formed and reported in (14A), in how many does your institution hold EQUITY? (stupeq)	<input type="text" value="N"/> 0
	(14F) How many SBIR/STTR COMPANIES were formed by researchers at your institution? (strtsbirsttr)	<input type="text" value="N"/> 0
Licensed Technologies, Post-Licensing Activity	(15A) Did one or more of your institution's LICENSED TECHNOLOGIES become AVAILABLE for consumer (public) or commercial use? (ltavmk)	2014 2013 Please select <input type="text" value="N"/> N
	(15B) If YES above, how many? (ltav)	<input type="text" value="N"/> 0
S-3 Net Product Sales	Based on royalty reports received from licensees, what were the net sales of products (ESTIMATED SALES OF LICENSED PRODUCTS) sold in fiscal year 2014 that were covered by LICENSED TECHNOLOGIES? (netsales)	\$ <input type="text" value="N"/>
S-7B Plant Certificates	What is the total number of plant certificates filed (US or non-US) on your new plants, including either plant variety protection (PVP) or plant breeders rights (PBR) (CRTPLNT)?	<input type="text" value="N"/>
S-7C Plant Patents	How many of the issued US patents in 13D were plant patents or PVP Certificates. (CRTPLNTISS)?	<input type="text" value="N"/>
S-7D Non-US Certificates	How many non-US PVP/PBR certificates issued? (CRTPLNTISSNUS)	<input type="text" value="N"/>

<p>S-10 Most Significant Start-Ups</p>	<p>List up to five of your most significant start-up companies ever, using criteria appropriate and significant for your institution.</p> <p>1 <input type="text"/></p> <p>2 <input type="text"/></p> <p>3 <input type="text"/></p> <p>4 <input type="text"/></p> <p>5 <input type="text"/></p> <p><i>PLEASE limit response to 250 characters for each edit box.</i></p>
<p>Feedback and Comments</p>	<p>Please provide any comments/suggestions you might have regarding the survey.</p> <div style="border: 1px solid black; height: 100px; width: 100%;"></div>

Update Survey

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